Nonlinear Phenomena in Multiferroic Nanocapacitors: Joule Heating and Electromechanical Effects

Yunseok Kim,†,‡ Amit Kumar,† Alexander Tselev,† Ivan I. Kravchenko,‡ Hee Han,∥ Ionela Vrejoiu,∥ Woo Lee,∥ Dietrich Hesse,§ Marin Alexe,§ Sergei V. Kalinin,†,* and Stephen Jesse†

The Center for Nanophase Materials Sciences, Oak Ridge National Laboratory, Oak Ridge, Tennessee 37831, United States, §Korea Research Institute of Standards and Science (KRISS), Daejeon 305-340, Korea, and ‡Max Planck Institute of Microstructure Physics, D-06120 Halle (Saale), Germany

ABSTRACT We demonstrate an approach for probing nonlinear electromechanical responses in BiFeO₃ thin film nanocapacitors using half-harmonic band excitation piezoresponse force microscopy (PFM). Nonlinear PFM images of nanocapacitor arrays show clearly visible clusters of capacitors associated with variations of local leakage current through the BiFeO₃ film. Strain spectroscopy measurements and finite element modeling point to significance of the Joule heating and show that the thermal effects caused by the Joule heating can provide nontrivial contributions to the nonlinear electromechanical responses in ferroic nanostructures. This approach can be further extended to unambiguous mapping of electrostatic signal contributions to PFM and related techniques.

KEYWORDS: multiferroic nanocapacitor · conduction · nonlinear response · Joule heating · PFM

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well-defined geometry and uniform surface properties. We develop the approach based on half-harmonic band excitation for selectively probing the nonlinear responses associated with Joule heating effects and establish quantitative guidelines to predict the role of thermal effects.

**RESULTS AND DISCUSSION**

**Linear and Nonlinear Electromechanical Responses in Ferroic Structures.** PFM is by now established as the universal technique to visualize and probe ferroelectric domain structures and their switching behavior across multiple classes of ferroic material and devices. In single-frequency PFM mode, the first-harmonic response of PFM is monitored to detect ferroelectric polarization, owing to the direct relationship between the deformation and bias, $d \sim d_{33}V_{ac}$. The theory of the PFM image formation mechanism for free ferroelectric surfaces and capacitor structures is by now well understood.

However, we note that application of the periodic electric bias ($V_{ac} = V_{0}\sin(\omega t)$) to the material will result in response both at the modulation frequency and at its higher harmonics. In the thin film capacitor geometry, the first-harmonic response in the PFM has contributions due to piezoelectric and electrostatic interactions and, for a good tip–surface contact at low frequencies, can be represented as (see eq S9 in Supporting Information):

$$A_{PR,1} = \left( d + \frac{C_{cant}}{d_{33}} \right) V_{0}$$

where $d$, $V_{0}$, $k_{cant}$, and $C_{cant}$ are the effective piezoelectric coefficient of the ferroelectric film, the amplitude of the driving bias, the spring constant of the cantilever, and the cantilever–sample capacitance, respectively.

At the same time, the capacitive, electrostrictive, and Joule heating effects are all quadratic in driving force and hence can be expected to contribute to the second-harmonic of cantilever response. By eq S14 in Supporting Information, the second-harmonic response in the PFM is expressed as

$$A_{PR,2} = M\nu V_{0}^{2} + \frac{R_{0}^{2} \beta_{0}^{2}}{2} + \frac{C_{cant}}{4k_{cant}} V_{0}^{2}$$

where $M$, $\beta$, $R_{0}$, and $I_{ac}$ are the effective electrostrictive coefficient, the Joule heat transduction coefficient (i.e., a factor describing conversion of the Joule heat into mechanical displacement), the capacitor electro-resistance, and the amplitude of the capacitor current $I_{ac}$, respectively. Note that capacitive cantilever–surface interaction scales reciprocally with the stiffness of cantilever and is quadratic with applied bias. The electrostrictive and Joule heating contributions are virtually independent of elastic properties of the systems and are quadratic in voltage amplitude and capacitor current, respectively. We further note that, for situations when resistance $R$ is significantly larger than the impedance of the voltage source, the driving bias $V_{ac}$ can be maintained across the sample irrespectively of $I_{ac}$ value.

The structure of eq 2 suggests that identification of nonlinear interactions requires (a) reliable measurements of quadratic interactions obviating the topographic cross-talk and (b) establishing the origins of the contrast (voltage vs current driven). One approach for these measurements can be based on spectroscopic measurements in which signal versus $V_{ac}$ is measured at each location on the sample surface. However, these measurements require acquisition of high-dimensional data (3D for single frequency and 4D for band excitation (BE)) and also necessitate deconvolution of linear and quadratic terms.

Here, we develop an approach for probing quadratic interactions in PFM using the half-harmonic BE (HBE). As shown in Figure 1a, when the driving modulation bias $V_{ac}$ with a band of frequencies with a central frequency at a cantilever resonant frequency $\omega_{0}$ is applied to the sample for the BE method, the conventional BE-PFM response can be obtained from the first-harmonic response at the same frequency due to the direct linear coupling of the bias. In addition, second-harmonic response with a band of frequencies is generated at the double frequency $2\omega_{0}$ due to the quadratic terms in eq 2 and generally falls outside of the cantilever resonance and detection band.

In this study, we excite the sample with an HBE signal at a frequency $\omega_{0}/2$, as shown in Figure 1b, to maximize the BE-PFM response at the resonant frequency $\omega_{0}$. Therefore, the driving modulation bias with a band of frequencies at half-harmonic frequency $\omega_{0}/2$ is applied to the conductive probe, and its second-harmonic response is monitored over a band of frequencies around the resonant frequency $\omega_{0}$. This approach extends an earlier work by Harnagea et al., by allowing decoupling nonlinear interactions and topographic cross-talk.
**Nonlinear PFM Imaging of Capacitor Structures.** Pt nanocapacitors with a diameter of around 380 nm were prepared using ultrathin anodic aluminum oxide (AAO) shadow masks on an epitaxial BFO (001) thin film with a thickness of 90 nm. The details of fabrication conditions of BFO thin films can be found in the Methods section.

Typical topography and leakage current maps of the capacitors are displayed in Figure 2a,d. Geometry of each capacitor is well-defined, as is seen in Figure 2a. The leakage current level of each capacitor can be clearly identified from the current maps in Figure 2d. The resistance level from the leakage current measurements is varying from kiloohms to gigaohms over the entire area of the sample. Individual capacitors show uniform leakage current levels over the entire area of each capacitor, as can be expected for a configuration with conductive top electrodes. The first-harmonic BE-PFM amplitude and phase images of Figure 2b,c show distribution of polarization amplitude and direction along the BFO film thickness. The as-prepared domain structure was clearly observed in different capacitors.35 However, HBE-PFM amplitude and phase in Figure 2e,f, respectively, show entirely new information, which is not associated with the as-prepared domain structures underneath the nanocapacitors. Some of the nanocapacitors in the HBE-PFM amplitude image in Figure 2e appear uniformly bright against a dark background.

To verify relationship between half- and first-harmonic BE responses, negative and positive poling procedures were performed on the capacitors. Under negative poling, there was observed a significant change of the domain structure (Figure 3a,c), whereas the HBE-PFM amplitude does not show any significant change (compare Figure 3b,d). This indicates that the HBE response is not directly related to the first-harmonic BE response, that is, polarization distribution in the material.

**Nonlinear PFM versus Leakage Currents.** As mentioned earlier (see eq 2), the second-harmonic response in the PFM includes capacitive, electrostrictive, and Joule heating contributions. In order to establish the origins of the HBE signal, we note that capacitive and electrostrictive interactions are expected to be approximately uniform across the sample surface and cannot explain the clear contrast and the formation of the capacitor clusters in the HBE amplitude images of Figures 2 and 3.

Figure 4 shows maps of topography, first-harmonic BE response, HBE response, resonant frequency, and current over the same region acquired within several subsequent scans. The HBE-PFM amplitude does not show any correlation with the first-harmonic BE-PFM response as well as with the resonant frequency. However, the clear contrast in the HBE-PFM amplitude image exactly corresponds to high leakage current, as is evident from Figure 4e,f.36 This considerable correlation therefore suggests that it is the Joule heat generated by high leakage current flow through the nanocapacitors that primarily contributes to the second-harmonic response.

We further measured HBE nonlinearity curves on both weakly and highly leaky capacitors, as shown in Figure 5b. For weakly leaky capacitors, the capacitive and electrostrictive interactions mainly contribute to the second-harmonic response since Joule heating can be negligible in this case, whereas all three interactions contribute to the second-harmonic response of highly leaky capacitors. The highly leaky capacitors show a much higher HBE nonlinear response, which can be attributed to additional Joule heating.

To further clarify the heat generation in the high leakage current nanocapacitors, strain spectroscopy measurements were performed at the capacitors with two different leakage current levels.13 In these, the local strain induced by application of dc to a SPM probe is measured directly through a static displacement of the SPM probe. As shown in Figure 5c, highly leaky capacitors of monodomain states exhibit higher strain amplitudes with more a symmetric shape of hysteresis loop. The difference in the strain loops is more significant for the multidomain nanocapacitors.

For typical multidomain capacitors with low leakage currents, an asymmetric and/or incomplete switching can exist at the bias sweeps in the range between $-5$ and $+5$ V due to the domains stabilized by strain relaxation and partially due to built-in fields in the sample (Figure 5d).37 Even though some of the weakly leaky capacitors show very asymmetric loops, highly leaky capacitors in the multidomain state always show more symmetric loops and higher average strain amplitudes. The higher amplitude characteristic of highly leaky capacitors in both mono- and multidomain states can originate from thermal expansion of BFO thin films due to Joule heating.38 In turn, the more symmetric shape of the hysteresis loops can appear due to thermally assisted switching.39,40 In fact, it is well-established that higher temperatures lead to smaller coercive voltages in ferroelectric films and accelerate their back-switching. Therefore, the measured local switching behavior depends on local thermal behavior and leakage current level. This is especially true for BFO thin films, which generally show higher leakage currents than typical ferroelectric materials such as PZT and BaTiO$_3$.41 In this situation, the interplay between thermal and switching behavior of our BFO capacitors can be nontrivial to understand.

**Analysis of Nonlinear Response.** The strain spectroscopy measurements clearly show that the HBE response is associated with the high leakage current of the capacitors. With a harmonically oscillating bias voltage applied to a BFO capacitor $V_{ac} = V_0 \sin(\omega t)$, where $t$ is
time and $\omega$ is an angular frequency, the power $P$ dissipated in a leaky capacitor with a resistance $R_{\text{cap}}$ is

$$P = I_{\text{ac}}^2 R_{\text{cap}} = \frac{V_{\text{ac}}^2}{R_{\text{cap}}} = \frac{V_0^2}{R_{\text{cap}}} \sin^2(\omega t)$$

$$= \frac{V_0^2}{2R_{\text{cap}}} - \frac{V_0^2}{2R_{\text{cap}}} \cos(2\omega t) = P_0 - P_{2\omega} \cos(2\omega t) \quad (3)$$

The second relation can be applied since the leaky capacitors in this study follow Ohm’s law in the voltage range used in the experiments as can concluded from $I-V$ measurements of the capacitors (not shown). In the steady state, the displacement of the surface resulting from thermal expansion occurs only due to the oscillating part of the Joule power $P_{2\omega}$ with a frequency $2\omega$ and an amplitude $\delta z_0 = \beta P_{2\omega}$, where $\beta$ is the transduction coefficient referred to the power (see eq 2 and eq S12 in Supporting Information). The factor $\beta$ depends on the frequency, materials properties in the vicinity of the capacitor, as well as on details of the heat generation and heat loss in the sample, such as distribution of Joule heat generation in the volume and heat exchange with the substrate and surrounding. Since the resistance of the Pt electrode is much smaller than the resistance of the BFO film, the variations of this factor $\beta$ across the electrode will be responsible for the HBE image contrast in the case of a negligible tip—electrode contact resistance (so that the amplitude of the current $I_0$ remains constant).

It should be taken into consideration that other factors with the $V_{\text{ac}}^2$ dependence may contribute to the HBE signal. One of them can be thermal expansion of the cantilever tip pyramid due to Joule heat generated in the metallic coating of the tip itself or in the tip—surface junction due to the tip—Pt electrode contact resistance, which can be high in the case of a damaged

Figure 2. (a) Topography map, (d) current map, (b,e) amplitude and (c,f) phase images of first-harmonic BE-PFM (b,c) and HBE-PFM (e,f) of BFO nanocapacitors. All images except (d) were obtained from the same area. Scale bar is 560 nm.

Figure 3. (a,c) PFM phase and (b,d) HBE-PFM amplitude images of BFO thin film nanocapacitors in (a,b) as-prepared states and in (c,d) as-poled states. Voltage biases of $-3.5$ V ($+3.5$ V) were applied to the right (left) three capacitors marked by black full circles in panel (a). Scale bar is 400 nm.
tip coating or a contaminated or rough electrode surface. The others are electrostriction and capacitance mentioned above (see eq 2). Our experiments showed that the former contribution can be significant in the HBE response, and special care should be taken to eliminate it from the data (a procedure for this is described in Supporting Information). The following analysis of the experimental data demonstrates that the procedure results in the elimination of the contribution from the tip expansion in the HBE signal.

Let us consider possible contribution of the tip expansion as an example. The periodic thermal expansion of the tip with a resistance $R_{\text{tip}}$ can be accounted analogously to the expansion of the sample (Figure 5a). The microscope signal will be then proportional to the sum of the surface displacement and the tip expansion with a combined amplitude $\Delta z_0 = \delta z + \delta z_{\text{tip}}$:

$$\Delta z_0 = \frac{V_0^2}{2(R_{\text{cap}}+R_{\text{tip}})^2(\beta_{\text{cap}}R_{\text{cap}} + \beta_{\text{tip}}R_{\text{tip}})}$$

$$\approx \frac{V_0^2}{2R_{\text{cap}}} \left( \beta_{\text{cap}} + \beta_{\text{tip}} \frac{R_{\text{tip}}}{R_{\text{cap}}} \right)$$

(4)

Here, we used the fact that $R_{\text{tip}} \ll R_{\text{cap}}$. The values of $\Delta z_0$ obtained from HBE experiments can be plotted as functions of $V_0^2/(2R_{\text{cap}})$. As follows from eq 4, the data should fall on straight lines with slopes determined by the combination $(\beta_{\text{cap}} + \beta_{\text{tip}}R_{\text{tip}}/R_{\text{cap}})$, and they will be different for different $R_{\text{cap}}$ values if the term $\beta_{\text{tip}}R_{\text{tip}}/R_{\text{cap}}$ accounting for the tip contribution is large. However, for a small contribution of the tip (i.e., for $\beta_{\text{cap}} \gg \beta_{\text{tip}}R_{\text{tip}}/R_{\text{cap}}$), the data should follow the same straight line independently from the capacitor resistance. Figure 6 demonstrates that this is the case for our capacitors for the data processed according to the contact resistance elimination procedure mentioned above. Indeed, when the contact is good, there is no significant HBE signal for the tip contribution, which was verified with a 200 nm thick Pt film on a SiO$_2$/Si substrate as a sample and a variable resistor of 77–177 kΩ in series with the probe tip under the same experimental conditions as with the BFO nanocapacitors.

Numerical simulations further justified the experimental results. The coefficient $\beta$ cannot be found analytically for the real sample geometry, and we used finite element (FE) analysis to directly calculate surface displacements resulting from the time-dependent part of the dissipated power. The FE modeling was performed using a COMSOL v4.2 multiphysics FE analysis package. Figure 6 displays the calculated amplitude $\delta z_0$ of the periodic displacements of the Pt electrode surface along the surface normal in the center of the

Figure 4. (a) Topography, (b,c) first-harmonic BE-PFM (b) amplitude and (c) phase, (d) resonant frequency, (e) HBE-PFM amplitude, and (f) current maps of BFO nanocapacitors obtained with a bias of $-250$ mV applied to the conductive SPM tip. Scale bar is 400 nm.
electrode as a function of $P_2$ together with the experimental data for three values of the capacitor resistance. As is seen, the results of the FE model and the experimental data are in excellent agreement. The peak-to-peak temperature swing in the electrode center was found to be about 8 °C for the lowest resistance of the capacitor with amplitude of the dissipated power of 9 μW.

**SUMMARY**

In summary, combining SPM experiments and numerical modeling, we have investigated the interplay between nonlinear electromechanical responses, conduction currents, and Joule heating in BFO nanocapacitors. We have developed a PFM technique based on half-harmonic excitations that allows selectively probing of nonlinear responses associated with Joule heating, electrostrictive, and capacitive effects. The clear contrast of clearly visible capacitor was observed in HBE amplitude. On the basis of the comparison between HBE amplitude and current maps, the HBE-PFM contrast can be explained by Joule heating generated by high leakage current through the BFO film. The strain spectroscopy measurements also show that a local increase of temperature due to Joule heating contributes to polarization switching along with the thermal expansion. The FE modeling indicates significance of the Joule heating contribution to the nonlinear response. The results show that the thermal effects due to Joule heating can provide nontrivial contributions to the electromechanical response,
which is relevant for both SPM studies and actual high-density memory applications, thus allowing improvements of SPM techniques as well as opening new pathways in the nanoscale memory applications.

We further note that the proposed strategy based on excitation of half-harmonic signals can be further extended to analysis of second-, third-, and higher harmonic signals obviating the (poorly understood) effects of cantilever transfer function. Hence, this approach is ideally suited for probing broad spectrum of nonlinear interactions in scanning probe microscopy.

**METHODS**

**Materials.** An epitaxial BFO thin film with a thickness of 90 nm was grown by pulsed laser deposition on a (001)-oriented 75 nm thick SrRuO3 (SRO) bottom electrode deposited on a SrTiO3 (STO) substrate. The details of the growth conditions of BFO thin films can be found elsewhere.42 Ultrathin AAO shadow masks were prepared through the AAO shadow mask by electron beam evaporation. Finally, film-type Pt/BFO/SRO nanocapacitors with a diameter of around 380 nm were obtained by removing the AAO mask.

**Measurements.** Scanning probe microscopy studies were carried out with a commercial system (Asylum Cypher) additionally equipped with a Labview/Matlab-based BE controller and a current amplifier (Femto DLPCA-200). First-harmonic and HBE-PFM were performed at 340–460 kHz and 170–230 kHz, respectively, with 0.5 Vpp BE bias signal applied to a Pt/Cr-coated SPM probe (Budget Sensors Multi75E-G). Strain spectroscopy was performed at a bias sweep rate of 100 Hz. Using the strain spectroscopy, the local strain loops were directly acquired from a static displacement of the sample surface in contact with the cantilever in contact with a sample, which is used in our BE measurements. This material is available free of charge via the Internet at http://pubs.acs.org.

**HBE PFM Signal Calibration.** The amplitude of the microscope photodetector signal $V_{det}$ produced by a harmonic displacement $\omega \sin(\omega t + \delta)$ at a frequency $\omega$ close to a frequency $\omega_0$ of the cantilever mode, is given by $S_0(\omega) \delta z$, where $z$ is the detector sensitivity, that is, the conversion factor between the displacement of the tip and the photodetector output voltage, and $S_0(\omega)$ has a meaning of the frequency response (transfer) function of the cantilever. For the lowest resonant vibration mode (eigenmode) of the cantilever in contact with a sample, which is used in our BE measurements, the cantilever deformation is very close in shape to pure bending caused by a constant force applied to the tip apex. Therefore, it can be considered that $S_0(\omega) = 1$ for this mode, which is generally not the case for higher modes. The response of the cantilever to a small harmonic excitation with an angular frequency $\omega$ close to a frequency of a resonant mode $\omega_0$ can be well-described as a response of a simple harmonic oscillator (SHO) with complex oscillation amplitude $S_0(\omega + i \omega_0) = \Re(S(\omega)) + i \Im(S(\omega))$.

**Factor $s$ in eq 5 can be determined using standard procedures of the microscope calibration, for example, through analysis of force–distance curves.** To obtain values of $\delta z$, the amplitude of the microscope response $V_{det}$ as a function of frequency is first fit by the functional form:

$$H(\omega) = \frac{A_0 \omega^2}{\omega^2 + i \frac{Q}{\omega}}$$

with $A_0$, $\omega_0$, and $Q$ being fitting parameters, and then the displacement amplitude $\delta z$ is obtained using the calibrated detector sensitivity $s$ and the value of the parameter $\alpha = \delta z = A_0/s$.

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